

FORMALITIES LETTER



OC000000005327572

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/593,554	06/14/2000	STUART A. FRASER	CF/006

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
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NEW YORK, NY 10020



Date Mailed: 08/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01 FC:105 130.00 CH



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#3

PATENTS
CF/006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants : Stuart A. Fraser et al.
Application No.: 09/593,554
Filed : June 14, 2000
For : SYSTEMS AND METHODS FOR ELECTRONIC
TRADING THAT PROVIDE INCENTIVES
AND LINKED AUCTIONS
Group Art Unit : 2768
Examiner : Not Yet Assigned

New York, New York 10020
January 4, 2001

Commissioner for Patents
Washington, D.C. 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

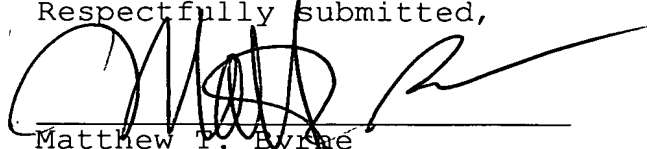
Responsive to the Notice to File Missing Parts
of Application mailed August 16, 2000, applicants hereby
submit the following:

1. A copy of the August 16, 2000 Notice to
File Missing Parts of Application;
2. Petition For Extension Of Time Pursuant to
37 C.F.R. § 1.136(a) (in duplicate);
3. Executed Declaration and Power of
Attorney.

Please charge our Deposit Account No. 06-1075
for the amounts of: (i) \$130.00 for surcharge; and
(ii) \$890.00 for Three Month Extension fee.

Please credit any overpayment or charge any deficiencies in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this paper is enclosed.


Respectfully submitted,



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I Heroby Certify that this
Correspondence is being
Deposited with the U.S.
Postal Service as First
Class Mail in an Envelope
Addressed to: ASSISTANT
COMMISSIONER FOR
PRACTICE
WASHINGTON, D.C. 20231 on

January 4, 2001
C. J. Byrne


Signature of Person Signing